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# THOMASON, MOSER & PATTERSON, LLP



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## PATENT APPLICATION

The Commissioner of Patents and Trademarks  
Applications Branch  
Washington, D. C. 20231

Sir:

In response to a "Notice to File Missing Parts of  
Nonprovisional Application" in connection with the following U.S.  
patent application:

Applicant(s): Yong Ho Son  
Christopher W.B. Goode

Title of application: METHOD AND APPARATUS FOR PREPROCESSING  
AND POSTPROCESSING CONTENT IN AN  
INTERACTIVE INFORMATION DISTRIBUTION  
SYSTEM

Serial No.: 09/772,288

Filed: January 29, 2001

Attorney Docket No.: DIVA/255

we enclose the following material herewith:

- ☒ Declaration;
- ☒ Power of Attorney;
- ☒ Applicant is a small entity; a Small Entity Statement is attached;
- ☐ Verified English translation of the application;
- ☐ Our check in the amount of \$ \_\_\_\_\_ to cover the basic filing fee of \$ \_\_\_\_\_, additional claim fees of \$ \_\_\_\_\_ and the surcharge under 37 CFR 1.16(e) in the amount of \$ \_\_\_\_\_;
- ☒ Copy of Notice to File Missing Parts of Nonprovisional Patent Application issued in connection with this application.
- ☒ A claim to priority
  - Serial No. 60/178,795, filed January 28, 2000
  - Serial No. 60/178,809, filed January 28, 2000
  - Serial No. 60/178,810, filed January 28, 2000
  - Serial No. 60/178,857, filed January 28, 2000
- ☐ Priority Document;

XX Other: Assignment to DIVA Systems Corporation  
with Recordation Cover Sheet

Please charge the application filing fee in the amount of \$355, the additional claims filing fee in the amount of \$90, the surcharge under 37 CFR 1.16(e) in the amount of \$65, the assignment recordation fee in the amount of \$40, and any additional fees, to Deposit Account 50-1316.

Applicants respectfully request, under the provisions of 37 CFR 1.136(a), an extension of the period for filing a response to the March 12, 2001 Notice to File Missing Parts of Nonprovisional Application. The requested extension is for three (3) months. Please charge such extension fee at the small entity rate, in the amount of \$445, and any additional fees, as appropriate, to Deposit Account 50-1316. To facilitate the deposit account charges, a duplicate copy of this letter is also enclosed herewith.

Please issue a corrected filing receipt as appropriate. A Request for Corrected Filing Receipt accompanies this submission.



Respectfully submitted,

*E. Wall*  
Eamon J. Wall, Attorney  
Reg. No. 39,414  
(908) 530-9404

Dated: 7/26/01

EJW:lec  
Encls.

THOMASON, MOSER & PATTERSON, LLP  
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CERTIFICATE OF MAILING under 37 C.F.R. 1.8(a)

I hereby certify that this correspondence is being deposited on 7/26/01 with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to the Commissioner of Patents and Trademarks, Attention: Box Missing Parts, Washington, D.C. 20231.

*Laura Crater*  
Signature

LAURA E CRATER  
Name of Person Signing



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
[www.uspto.gov](http://www.uspto.gov)

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/772,288	01/29/2001	Yong Ho Son	DIVA/255

## FORMALITIES LETTER



\*QC000000005852778\*

**Thomason, Moser & Patterson, LLP**  
Attorneys at Law  
Suite 100  
595 Shrewsbury Avenue  
Shrewsbury, NJ 07702

Date Mailed: 03/12/2001

# NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

08/02/2001 SLUANG1 00000001 501316 09772288

**FILED UNDER 37 CFR 1.53(b)**

01 FC:201	355.00	CH
02 FC:203	90.00	CH
03 FC:205	65.00	CH

**Filing Date Granted**

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 355 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$90.
  - \$90 for 10 total claims over 20.
- The oath or declaration is missing.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 510.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given TWO MONTHS from the date of this Notice within which to correct the informalities indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. ( 5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

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*A copy of this notice MUST be returned with the reply.*

NK

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Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

0072388-072001  
000220-8822260



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**PATENT APPLICATION**

Assistant Commissioner for Patents  
Official of Initial Patent Examination  
Customer Service Center  
Washington, D. C. 20231

**REQUEST FOR CORRECTED FILING RECEIPT**

Sir:

In connection with the below-identified application:

Applicants:  
Title of application:

Yong Ho Son; Christopher W.B. Goode  
METHOD AND APPARATUS FOR  
PREPROCESSING AND POSTPROCESSING  
CONTENT IN AN INTERACTIVE  
INFORMATION DISTRIBUTION SYSTEM  
09/772,288 Filed: 01/29/01  
DIVA/255

Serial No.:  
Attorney Docket No.:

Please issue a corrected filing receipt reflecting residence  
addresses of the inventors as follows:

Yong Ho Son  
Christopher W.B. Goode

Palo Alto, California  
Menlo Park, California

Respectfully submitted,

7/26/01

E. J. Wall  
Eamon J. Wall  
Reg. No. 39,414  
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Attorneys At Law  
595 Shrewsbury Avenue  
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Shrewsbury, NJ 07702



CERTIFICATE OF MAILING UNDER 37 C.F.R. 1.8(a)

I hereby certify that this correspondence is being deposited  
on 7/26/01, with the United States Postal Service  
as first class mail, with sufficient postage, in an envelope  
addressed to the Assistant Commissioner for Patents, Box Missing  
Parts, Washington, D.C. 20231.

Laura E Crater  
Signature

LAURA E CRATER  
Name of Person Signing